

#### **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tracy Willson, et al.

Examiner: Yvonne Eyler

Serial No.:

09/051,843

Art Unit:

1646

Filed:

June 29, 1998

Docket:

11373

For:

A NOVEL HAEMOPOIETIN

Dated:

May 9, 2002

RECEPTOR AND GENETIC SEQUENCES

ENCODING SAME

Assistant Commissioner for Patents Washington, DC 20231

### **RESPONSE TO NOTICE TO COMPLY UNDER 37 C.F.R.§1.821**

Sir:

In response to the Notice to Comply with Requirements for Patent Applications

Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 9, 2002,
and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith a

substitute paper and a substitute computer readable copy of the Sequence Listing, along with a

Statement Under 37 C.F.R. §1.821(f), stating that these copies are identical. A copy of the

Notice to Comply is also enclosed.

# CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Dated: May 9, 2002

Michelle Mustafa

Applicants respectfully submit that the content of the paper and computer readable copies of the sequence listing do not introduce new matter.

Respectfully submitted,

Peter I. Bernstein

Registration No. 43,497

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PIB:dg



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MAY 2 1 2002

Application No.:09/051,843

NOTICE TO COMPLY WITH PROPIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND A AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

(X)	1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
	2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
	3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
Ø	4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
	5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
	7. Other:
Applicant Must Provide:	
N N	An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
Ø	A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).
For	questions regarding compliance to these requirements, please contact:
	Rules Interpretation, call (703) 308-4216
	CRF Submission Help, call (703) 308-4212 Patentin software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE

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Assistant Commissioner for Patents Washington, DC 20231

### STATEMENT UNDER 37 C.F.R. §1.821(f)

Sir:

I hereby state that the content of the substitute paper and substitute computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. §§1.821(c) and (e), respectively, are the same.

Respectfully submitted,

Peter I. Bernstein

Registration No. 43,497

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343 PIB:dg

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Dated: May 9, 2002

Michelle Mustafa